10 **Rec'd PCT/PTO** 03 MAY 2005 000FORM PTO-1390 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE CUSTOMER NO. 22.852 **REV. 2/01T** ATTORNEY'S DOCKET NUMBER TRANSMITTAL LETTER TO THE UNITED STATES 05471.0060 DESIGNATED/ELECTED OFFICE (DO/EO/US) U.S. APPLICATION NO. **CONCERNING A FILING UNDER 35 U.S.C. 371** (If known, see 37CFR1.5) INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/CH2003/000061 January 27, 2003 **November 4, 2002** TITLE OF INVENTION: METHOD AND DEVICE FOR HUSKING AND DEGERMINATING CEREALS APPLICANTS FOR DO/EO/US: 1) Othmar GERSCHWILER, 2) Walter EUGSTER, and 3) Ursprünglichen ZWAHLEN Applicants herewith submit to the United States Designated/Elected Office (DO/EO/US) the following items and other information: 1. This is a FIRST submission of items concerning a filing under 35 U.S.C 371. 2. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 4. \boxtimes The US has been elected by the expiration of 19 months from the priority date (Article 31). 5. \boxtimes A copy of the International Application as filed (35 U.S.C. 371 (c)(2)). is attached hereto (required only if not communicated by the International Bureau. \boxtimes b. has been communicated by the International Bureau. is not required, as the application was filed with the United States Receiving Office (RO/US). 6. \boxtimes An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)). \boxtimes is attached hereto. b. has been previously submitted under 35 U.S.C. 154 (d)(4). 7. 5 \boxtimes Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)). П a. are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. b. c. have not been made; however, the time limit for making such amendments has NOT expired. \boxtimes have not been made and will not be made. 8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)). 9. \Box An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). 10. 冈 An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)). Items 11 to 20 below concern document(s) or information included: 11. Information Disclosure Statement under 37 CFR 1.97 and 1.98 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. \boxtimes 13. A FIRST preliminary amendment. 14. A SECOND or SUBSEQUENT preliminary amendment.

15. A Substitute specification. 16. A change of power of attorney and/or address letter. 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821-1.825. 18. A second copy of the published international application under 35 U.S.C. 154 (d)(4). 19. A second copy of the English language translation of the international application 35 U.S.C. 154 (d)(4). 20. Other items or information: \boxtimes a. Copy of cover page of International Publication No. WO 2004/041434 A1. b. Copy of Notification of Missing Requirements. \boxtimes Request For Substitution Of Replacement Sheets. c.

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U.S. APPLICATION NO. (If known, see 37CFR 1.5)			INTERNATIONAL APPLICATION NO.		ATTORNEY'S DOCKET NUMBER			
10/533590 PCT/CH2003/0000				<u> </u>	<u> </u>	05471.0060		
21. The following fees are submitted:							Office Use Only	
BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)): \$300.00								
National Stage Search Fee\$500.00						\$500.00		
National Stage Examination Fee\$200.00						\$200.00		
National Stage Ext	ammanom	ree	••••••	••••••	5200.00			
ENTER APPROPRIATE BASIC FEE AMOUNT =								
listing or com	puter prog	ication and drawi ram listing filed in aper or fraction th	ngs filed in paper over l n an electronic medium) nereof.	00 sheets (exclud). The fee is \$250	ing sequence for each			
Total Sheets	l Sheets Extra Sheets		Number of additional 50 or fraction thereof (rounded up to a whole number		Rate	- 1		
10 - 100 = /50=				x 250.00	\$			
Surcharge of \$130.00 for furnishing the oath or declaration later than 20 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).								
CLAIMS	NUM	IBER FILED	NUMBER EXTRA	RATE			1	
Total Claims	13	- 20 =	0	x \$50.00		\$		
Independent Claims	2	-3 =	0	x \$200.00		\$		
✓ MULTIPLE DEPENDENT CLAIM(S) (if applicable) +\$360.00						\$ 360.00	1	
TOTAL OF THE ABOVE CALCULATIONS =						\$1360.00	†	
Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½.						\$	 	
SUBTOTAL =								
						\$1360.00		
Processing fee of \$130.00 for furnishing the English translation later than months from the earliest priority date (37 CFR 1.492(f)).						\$		
TOTAL NATIONAL FEE =						\$ 1360.00		
Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property.						\$		
TOTAL FEES ENCLOSED =						\$1360.00		
						Amount to be refunded:	\$	
	•					charged:	s	
a. A check in the amount of \$ 1360.00 to cover the above fees is enclosed.						ı <u> </u>	<u> </u>	
Please charge my Deposit Account No in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.								
c. 🛭 The Co Deposi	•							
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.								
must be filed and g	granted to	restore the applica	37 CFR 1.494 or 1.495 ation to pending status.	has not been met,	a petition to revi	ve (37 CFR 1.13	7 (a) or (b))	
SEND ALL CORRESPONDENCE TO:								
Finnegan, Henderson, Farabow, Garrett & Dunner, L.L.P.								
901 New York Avenue, NW Washington, D.C. 20001-4413				SIGNATURE				
EFC/FPD/sci	20001 -	71 <i>3</i>	_	Ernest F. Chapman/25,961				
DATED: May 3, 2005 NAME/REGISTRATION NO.								

10/533590

JC14 Rec'd PCT/PTO 03 MAY 2005

PCT/CH2003/000061 Othmar GERSCHWILER et al. Attorney Docket No. 05471.0060

TRANSLATION OF THE ANNEXES TO THE PRELIMINARY EXAMINATION REPORT

(ARTICLE 34 AMENDMENTS)

Commissioner for Patents P.O. Box 1450 **Alexandria, VA 22313-1450**

Sir:

REQUEST FOR SUBSTITUTION OF REPLACEMENT SHEETS

Please substitute the attached translation of replacement sheets 6-7 of the claims of the Article 34 Amendments for sheets 1-2 of the claims in the enclosed translation of the as-filed PCT application. It is respectfully requested that the claims in the substitute sheets be examined during examination of the patent application. Claims 1-10 are currently pending.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW. GARRETT & DUNNER, L.L.P.

Dated: May 3, 2005

Ernest F. Chapman Reg. No. 25,961

EFC/FPD/sci